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# Examples of false ruled surfaces

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## Examples of false ruled surfaces

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I describe a construction of false ruled surfaces, which were discovered by Peter Russell. We show that these surfaces are of general type by calculating the self-intersection of the canonical class, and we show that these surfaces have at least one global vector-field, i.e. that the schema of automorphisms of these surfaces is a finite, non-reduced group schema. We also give a formula for the Euler-characteristic  $\chi(W)$  of these surfaces.

I would like to thank McGill university and in particular my friend Peter Russell for their hospitality during my visit in September and October 1981, where this work was done.

### 1. Some generalities

In the following we consider smooth complete algebraic varieties over an algebraic closed ground field  $k$ . If  $W$  is a variety of general type, there exist an algebraic group schema  $A$ , which is finite over  $k$ , and an action  $A \times W \rightarrow W$ , which represents the cofunctor on the category of  $k$ -schemes

$$S \longmapsto \text{Aut}_S(V \times S)$$

In particular, for  $S = \text{Spec } k[t]/(t^2) \supset \text{Spec}(k)$  we obtain the Lie-algebra of  $A$  by

$$\text{Lie}(A) = \text{Ker}(A(S) \rightarrow A(\text{Spec}(k))) \cong H^0(W, \Theta_W)$$

where  $\Theta_W$  denotes the sheaf of vector fields on  $W$ . Over fields of characteristic 0 any algebraic group schema is smooth, hence  $H^0(W, \Theta_W) = 0$  in this case. For curves of general type this is true in any characteristic, since  $\Theta_W$  is then a line bundle of negative degree. According to my knowledge this was an open question for surfaces of general type.

Another classical result for algebraic surfaces  $W$  over fields of characteristic zero is the following one: If the Euler-number  $e(W)$  is negative then  $W$  is a ruled surface over a curve of genus  $g \geq 2$ .

Raynaud's counterexample to Kodaira's vanishing theorem shows that this is no longer true in positive characteristic. Therefore it is interesting to characterize surfaces with negative Euler number in characteristic  $p$ , this was our starting point which we discussed with P. Russell.

The following construction is described in Seminaire Chevalley, Variétés de Picard, Exposes of Seshadri:

If  $V$  is an algebraic variety over a field of characteristic  $p$  and  $\mathcal{I} \subset \mathcal{O}_V$  a coherent subsheaf, we get a new algebraic variety with the same underlying space and with the structure sheaf  $\mathcal{O}_V^{\mathcal{I}} = \text{annihilator of } \mathcal{I} \text{ in } \mathcal{O}_V$ . Let us denote this variety by  $V^{\mathcal{I}}$ , since

$\mathcal{O}_V^p \subseteq \mathcal{O}_V \mathfrak{f} \subseteq \mathcal{O}_V$  we have a factorization

$$V \xrightarrow{\pi} V \mathfrak{f} \xrightarrow{\pi'} V'$$

(where  $V'$  denotes the variety with the structure sheaf  $\mathcal{O}_V^p$ ). The following conditions ensure that  $V \mathfrak{f}$  is again smooth:

(i)  $\mathfrak{f}$  is a subsheaf of  $p$ -closed sub-Lie-algebras, i.e. if  $\theta_1, \theta_2 \in \mathfrak{f}$ , then  $[\theta_1, \theta_2] \in \mathfrak{f}$  and  $\theta_1^p \in \mathfrak{f}$

(ii)  $\mathcal{O}_V / \mathfrak{f}$  is locally free

In this case case,  $\pi$  is a purely inseparable finite flat morphism of degree  $p^r$ ,  $r = \text{rank}(\mathfrak{f})$ , and  $\mathfrak{f} = \mathcal{O}_{V/W}$  (where we denote  $V \mathfrak{f}$  by  $W$ ). The following sequences are then exact:

$$0 \rightarrow \mathcal{O}_{V/W} \rightarrow \mathcal{O}_V \rightarrow \pi^* \mathcal{O}_{W/V'} \rightarrow 0$$

$$0 \rightarrow \mathcal{O}_{W/V'} \rightarrow \mathcal{O}_W \rightarrow \pi'^* \mathcal{O}_{V'/W'} \rightarrow 0$$

Therefore

$$\begin{aligned} \det(\mathcal{O}_V) &= \det(\mathcal{O}_{V/W}) \otimes \pi^* \det(\mathcal{O}_{W/V'}) \\ \det(\mathcal{O}_{W/V'}) &= \det(\mathcal{O}_W) \otimes \pi'^* \det(\mathcal{O}_{V'/W'})^{-1} \end{aligned}$$

and since

$$\begin{aligned}\pi^* \pi'^* \det(\Theta_{V'/W'}) &= (\pi' \circ \pi)^* \det(\Theta_{V'/W'}) \\ &= \det(\Theta_{V/W})^{\otimes p}\end{aligned}$$

and  $\Theta_{V/W} \cong \mathcal{F}$  we get the following formula (Rudakov - Shafarevich) for the canonical classes

$$\omega_V \cong \pi^* \omega_W \otimes \det(\mathcal{F})^{\otimes p-1}$$

## 2. The construction of Peter Russell

The surfaces  $W$  will be of the type  $W = V \mathcal{F}$ , where  $V$  is a ruled surface over a curve  $B$  of

genus  $g \geq 2$  such that for some integer  $n > 0$  holds  $p(np-1) \mid 2g-2$  and  $np > n+2$ . The

surface  $V$  will be a ruled surface of the type

$$V = \mathbb{P}(\mathcal{O}_B \oplus L^{\otimes p}) \text{ where } L \text{ is a line bundle such that } L^{\otimes p(p-1)} \cong \mathcal{O}_B \text{ (observe that } (p-1)p \mid \deg(\mathcal{O}_B) = 2-2g).$$

The problem is to find a suitable  $p$ -closed subsheaf  $\mathcal{F}$  such that  $\Theta_V/\mathcal{F}$  is locally free of rank 1. Any locally free subsheaf of rank 1 of  $\Theta_V$  is of the form  $\mathcal{F} = \mathcal{O}_V(\Delta)\Theta$ , where  $\Theta$  is a rational vector field on  $V$  and  $\Delta = \text{div}(\Theta)$

(if  $\mathcal{O}_V/\mathfrak{f}$  is torsion free). If  $t$  is an affine coordinate on the generic fibre of the ruling  $V \rightarrow B$  the function field of  $V$  is  $k(V) = k(B)(t)$  and we can extend any rational vector field  $\delta$  on  $B$  to a rational vector field, also denoted by  $\delta$ , on  $V$  by assuming  $\delta(t) = 0$ . Therefore any rational vector field on  $V$  is parallel to a vector field of the form  $\theta = \delta + h \frac{\partial}{\partial t}$ ,  $h \in k(V)$ .

The divisor  $\Delta = \text{div}(\theta)$  of a rational vector field is defined as follows: On open sets  $U \subset V$  where there exist regular functions  $x, y$  such that  $dx \wedge dy$  has no zeros we can write

$$\theta = f \left( a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y} \right)$$

where (for sufficient small  $U$ )  $a, b$  are regular functions on  $U$  which have at most isolated common zeros on  $U$ . Then  $\mathcal{O}_V(\Delta)|_U = \mathcal{O}_V \frac{1}{f}|_U$ .

Then  $\mathcal{O}_V(\Delta)\theta \subseteq \mathcal{O}_V$  and  $\mathcal{O}_V/\mathcal{O}_V(\Delta)\theta$  is locally free if and only if  $a, b$  have no common zero, i.e. if  $\theta$  has only divisorial singularities.

If we choose  $\theta$  in the form  $\theta = \delta + h^p \frac{\partial}{\partial t}$

then  $\Theta^p = S^p$  and therefore the condition of  $p$ -closedness is satisfied, if  $S^p = 0$ .

Lemma Assume  $S$  is a rational vector field on the curve  $B$  such that  $S^p = 0$  and the divisor  $\text{div}(S)$  is of the form  $-pmE$ ,  $m > 0$ .

Then there exist a covering  $B = U \cup U^*$  and regular functions  $x$  on  $U$ ,  $x^*$  on  $U^*$  such that  $\text{supp}(E) \subset U^* - U$ ,  $\Theta = \frac{d}{dx}$  and

$$dx = \mu^{pm} dx^*, \quad \omega_B|_U = \mathcal{O}_B dx|_U, \quad \omega_B|_{U^*} = \mathcal{O}_B dx^*|_{U^*}$$

where  $\mu$  is a local equation of  $E$  on  $U^*$

$$\text{i.e. } \mathcal{O}_B(E)|_{U^*} = \frac{1}{\mu} \mathcal{O}_B|_{U^*}.$$

Prove: We use the following formula (Hochschild formula)

$$(fS)^p = f^p S^p + f S^{p-1}(f^{p-1})\Theta.$$

If  $S = f \frac{d}{dy}$ ,  $y$  a rational function on  $B$  such that  $dy \neq 0$ , then  $0 = S^p = f \left(\frac{d}{dy}\right)^{p-1} (f^{p-1}) \frac{d}{dy}$ ,

hence  $f^{p-1} = \sum_{v=0}^{p-2} a_v^p y^v = \frac{dg}{dy}$ , where  $a_v$  are rational functions on  $B$  and  $g = \sum_{v=0}^{p-2} (v+1)^{-1} a_v y^{v+1}$ .

If  $x = \frac{g}{f^p}$ , then  $\frac{dx}{dy} = \frac{1}{f}$ , hence  $f \frac{d}{dy} = \frac{d}{dx}$ .



Let  $U$  be an open set such that  $x$  is regular on  $U$  and  $\text{supp}(E) \cap U = \emptyset$ . Then  $\theta = \frac{dx}{dx}$  and  $\omega_B|U = \mathcal{O}_B dx|U$ .

Let  $y$  be an arbitrary function on  $B$  which has simple zeros in the finite many points of  $B-U$ , then in a small neighbourhood  $U^*$  of  $B-U$  we have  $\omega_B|U^* = \mathcal{O}_B dy|U^* = \frac{1}{\mu^{p_m}} \mathcal{O}_B dx|U^*$ , where  $\mu$  is a local equation of  $E$  on  $U^*$ .

Therefore  $\frac{dx}{dy} = \varepsilon \mu^{p_m}$ ,  $\varepsilon \in \mathcal{O}_B(U^*)^*$  and  $0 = \frac{d^p x}{dy^p} = \frac{d^{p-1} \varepsilon}{dy^{p-1}} \mu^{p_m}$ , hence

$$\varepsilon = a_0^p + a_1^p y + \dots + a_{p-2}^p y^{p-2}, \quad a_i \in \mathcal{O}_B(U^*).$$

The function  $x^* = y(a_0^p + \frac{1}{2} a_1^p y + \dots + \frac{1}{p-1} a_{p-2}^p y^{p-2})$  has therefore simple zeros in the points of  $B-U$  and  $dx^* = \varepsilon dy$ , hence  $dx = \mu^{p_m} dx^*$  q.e.d.

We assume now that  $B$  is a curve with a rational vector field  $S$  such that  $S^p = 0$  and  $\text{div}(S) = -p(np-1)E$  and we choose  $U, U^*, x, x^*$  and  $\mu$  as in the lemma. The conditions on  $B$  seems to be rather special but here

are some examples of such curves :

(i)  $p=2, n=3$

$B \subset \mathbb{P}^2$  defined by  $y^4 + x^5 + y = 0$  (in inhomogeneous coordinates),  $S = \frac{d}{dx}$

(ii) In general, given  $p \geq 2$  and  $n$ , let  $f(x)$  be a polynomial of degree  $p(np-1)+3$  with only simple zeros such that  $(\frac{d}{dx})^{p-1}(f^{\frac{p-1}{2}}) = 0$ , and let  $B$  be the hyperelliptic curve defined by

$$y^2 = f(x)$$

and  $S = y \frac{d}{dx}$ ,  $E$  the point at infinity  $P_{\infty}$ .

Clearly  $\text{div}(S) = -(2g-2)P_{\infty}$ . On the other hand

$$S^p = y \left(\frac{d}{dx}\right)^{p-1} (y^{p-1}) \frac{d}{dx} = 0 \text{ if and only if } \left(\frac{d}{dx}\right)^{p-1} (y^{p-1}) = \left(\frac{d}{dx}\right)^{p-1} (f^{\frac{p-1}{2}}) = 0.$$

Examples are :  $p=3, n=5, y^2 = x^{45} + x$

$p=5, n=3, y^2 = x^{73} + x^{37} + 2x$

etc.

Let  $L$  be the line bundle  $\mathcal{O}_B(-E)$  and choose

sections  $\alpha_i \in H^0(B, \mathcal{O}_B(ipE))$ ,  $i=1, \dots, n$

Let  $V$  be the surface  $\mathbb{P}(\mathcal{O}_B \oplus L^{\otimes p})$  and  $S_0$  and  $S$

$\subset V$  the sections corresponding to

the projections  $\mathcal{O}_B \oplus L^{\otimes p} \rightarrow \mathcal{O}_B$  resp.  $\mathcal{O}_B \oplus L^{\otimes p} \rightarrow L^{\otimes p}$ .

Then  $(S \cdot S_0) = 0$ ,  $(S^2) = -(S_0^2) = \deg(L^{\otimes p}) = -p \deg E$ .

We can choose trivializations

$$V|U \cong U \times \mathbb{P}^1$$

$$V|U^* \cong U^* \times \mathbb{P}^1$$

such that  $t_0^* = t_0$ ,  $t_1^* = \mu^p t_1$  for the corresponding homogeneous coordinates,  $S$  is given by  $t_0 = t_0^* = 0$ , so by  $t_1 = t_1^* = 0$ .

We denote by  $t, t^*$  the affine coordinates  $t = \frac{t_1}{t_0}$ ,  $t^* = \frac{t_1^*}{t_0^*} = \mu^p t$ . At infinity, i.e. in a neighbourhood of the section  $S$  we have to use the coordinates  $s = \frac{1}{t}$  and  $s^* = \frac{1}{t^*}$ .

Let  $\theta$  be the vector field

$$\theta = \frac{\partial}{\partial x} + h^p \frac{\partial}{\partial t}, \quad h = t^n + a_1 t^{n-1} + \dots + a_n$$

It has no singularities on  $\beta^{-1}U - S$ .

If  $h_0 = 1 + a_1 s + \dots + a_n s^n$

$$\theta_0 = D^{np-2} \frac{\partial}{\partial x} - h_0(s) \frac{\partial}{\partial s}$$

then  $\theta_0$  has no singularity along  $S \cap \beta^{-1}U$  and

$$\theta = s^{-(np-2)} \theta_0$$

If  $h^*(t^*) = t^{*n} + \mu^p a_1 t^{*n-1} + \dots + \mu^n a_n$  and

$$\theta^* = \frac{\partial}{\partial x^*} + h^*(t^*) \frac{\partial}{\partial t^*}$$

then  $\theta^*$  has no singularity on  $\beta^{-1}U^* - S$  and

$$\theta = \mu^{-p(n-1)} \theta^*$$

In the same way, using  $h_0^*(s^*) = 1 + \mu^p a_1 s^* + \dots + \mu^n a_n s^{*n}$  and

$$\theta_0^* = \frac{\partial}{\partial x^*} - h_0^*(s^*) \frac{\partial}{\partial s^*}$$

we get

$$\theta^* = s^{*-(np-2)} \theta_0^*$$

and  $\theta_0^*$  has no singularity on  $S \cap \beta^{-1}U^*$ .

Therefore,  $\theta$  has only divisorial singularities and

$$\Delta = \text{Div}(\theta) = -(np-2)S - p(np-1)BE^*$$

and the surface  $W = V\mathcal{F}$ ,  $\mathcal{F} = \mathcal{O}_V(\Delta)\theta$ , is a smooth algebraic surface. We get a commutative

$$\begin{array}{ccc} \text{Diagram} & V & \xrightarrow{\pi} W \\ & \beta \downarrow & \downarrow \gamma \\ & B & \xrightarrow{F} B' \end{array}$$

where  $\pi$  is a homeomorphism, which is birational on the geometric fibres.

### 3. Numerical invariants

We have for the Euler numbers

$$e(W) = e(V) = 4 - 4g = -2p(np-1) \deg E$$

We can compute  $(\omega_W^2)$  by using the formula

$$\pi^* \omega_W \cong \omega_V(- (p-1)\Delta)$$

(since  $f \cong \mathcal{O}_V(\Delta)$ ), hence

$$p(\omega_W^2) = (\omega_V^2) - 2(p-1)(\omega_V \cdot \Delta) + (p-1)^2 (\Delta^2)$$

For ruled surfaces  $V$  we have

$$(\omega_V^2) = 8(1-g) = -4p(np-1) \deg E$$

$$-(\omega_V \cdot \Delta) = (np-2)(\omega_V \cdot S) + p(np-1) \deg E (\omega_V \cdot F)$$

( $F$  a fibre)

$$\begin{aligned} (\omega_V \cdot S) &= 2g - 2 - (S^2) = p(np-1) \deg E + p \deg E \\ &= np^2 \deg(E) \end{aligned}$$

$$(\omega_V \cdot F) = -2$$

$$\text{hence } -(\omega_V \cdot \Delta) = p(n^2p^2 - 4np + 2)$$

$$\begin{aligned} (\Delta^2) &= -(np-2)^2 p \deg E + 2p(np-1)(np-2) \deg E \\ &= \dots p^2 n(np-2) \deg E \end{aligned}$$

$$(\omega_W^2) = [p^2(p^2-1)n^2 - 2p(p^2+2p-1)n + 4p] \deg E$$

If  $n > \frac{2}{p(p-1)}$  this integer is positive, and since  $W$  has no exceptional curves of the first kind

and the Albanese map is not trivial, it follows that  $W$  is a surface of general type.

Applying Noethers formula  $\chi(\mathcal{O}_W) = \frac{1}{12}((\omega_W)^2 + e(W))$  we get

$$\chi(\mathcal{O}_W) = \left[ \frac{p^2(p-1)}{12} n^2 - \frac{p(p^2+3p-1)}{6} n + \frac{p}{2} \right] \deg(E)$$

Since  $np > 2+n$  we always have

$$\chi(\mathcal{O}_W) > 0.$$

#### 4. Vector fields on $W$

On  $\gamma^{-1}(U) = \pi(S)$  we have the functions

$$y = h(t)^p x - t, \quad \xi = x^p \quad \text{and} \quad \tau = t^p$$

They satisfy the relation

$$y^p = g(\tau)^p \xi - \tau$$

where  $g(\tau) = \tau^n + a_1^p \tau^{n-1} + \dots + a_n^p$ , and the subscheme of  $U' \times \mathbb{A}^2$  defined by this equation ( $y, \tau$  affine coordinates of  $\mathbb{A}^2$ ) is smooth over  $U'$ , therefore equal to  $\gamma^{-1}(U) = \pi(S)$ .

In a neighbourhood of  $\pi(S) \cap \gamma^{-1}(U)$  we can use the functions

$$z = h_0(s)^p x - s^{np-1} \quad \text{and} \quad \theta = s^p$$

to define an embedding into  $U' \times \mathbb{A}^2$ , given by the relation

$$z^p = g_0(\zeta)^p \xi - \zeta^{np-1}$$

(where  $g_0(\zeta) = 1 + a_1^p \zeta + \dots + a_n^p \zeta^n$ ). Each fibre of  $\gamma$  has therefore precisely one singularity, namely the point  $\pi(S \cap F)$ , which is isomorphic to the cusp  $u^p + v^{np-1} = 0$ .

The coordinates  $z$  and  $\zeta$  are related to  $y$  and  $\tau$  by

$$\zeta = \frac{1}{\tau} \quad , \quad z = \frac{y}{\tau^n}$$

On  $\gamma^{-1}(U^*)$  we use the functions

$$y^* = h^*(t^*)^p x^* - t^* \quad , \quad \xi^* = x^{*p} \quad , \quad \tau^* = t^{*p}$$

and

$$z^* = h_0^*(s^*)^p x^{*p} - s^{*np-1} \quad , \quad \zeta^* = s^{*p}$$

Since  $dx = \mu^{p(np-1)} dx^*$ , we have

$$x = \mu^{p(np-1)} (x^* + a^p) \quad , \quad a \in k(B')$$

Then  $x = \mu^p$  and  $b = a^p$  are functions on  $B'$  and

$$\xi = x^{p(np-1)} (\xi^* + b^p)$$

$$y = x^{-1} (y^* + b g^*(\tau^*))$$

$$\tau = \frac{\tau^*}{x^p}$$

$$\phi = x^p \phi^*$$

$$z = x^{np-1} (z^* + \phi g_0^*(\phi^*))$$

Therefore the vector field

$$\frac{\partial}{\partial y} = x \frac{\partial}{\partial y^*} = \phi^n \frac{\partial}{\partial z} = x \phi^{*n} \frac{\partial}{\partial z^*}$$

is regular on  $W$ .

The vector field  $\frac{\partial}{\partial y}$  is a section of the subbundle  $\mathcal{O}_{W/V'}$  of  $\mathcal{O}_W$  and

$$\mathcal{O}_{W/V'} = \mathcal{O}_W(nT + \gamma^* E') \frac{\partial}{\partial y}$$

where  $T = \pi_*(S)$ ,  $E' = F_*(E)$ .

If we consider the factorization

$$V \xrightarrow{\pi} W \xrightarrow{\pi'} V'$$

we get the exact sequence

$$0 \rightarrow \mathcal{O}_{W/V'} \rightarrow \mathcal{O}_W \rightarrow \pi'^* \mathcal{O}_{V'/W'} \rightarrow 0$$

and  $\pi^* \pi'^* \mathcal{O}_{V'/W'} \subseteq \mathcal{I}^{\otimes p}$ . Therefore  $H^0(W, \pi'^* \mathcal{O}_{V'/W'}) = 0$  and

$$\begin{aligned} H^0(W, \mathcal{O}_W) &= H^0(W, \mathcal{O}_{W/V'}) \subseteq H^0(W, \mathcal{O}_W(nT + \gamma^* E')) \\ &= H^0(B', \gamma_* \mathcal{O}_W(nT) \otimes \mathcal{O}_{B'}(E')) \end{aligned}$$

Since  $d\phi^* dy = -\frac{\phi^{np-2-n}}{g_0(\phi)^p} d\phi dz = x^{p(np-1)-1} d\phi^* dy^*$ ,

the divisor

$$K = (np-2-n)T + [p(np-1)-1]\gamma^* E'$$



is a canonical divisor on  $W$ .

### 5. Some special examples

Example 1 :  $k = \overline{\mathbb{F}}_2$

Let  $C$  be the completion of the curve

$$u^5 + v^4 + w = 0$$

in  $\mathbb{P}^2$ ,  $\delta = \frac{d}{du}$ ,  $\partial = \frac{\partial}{\partial u} + t^6 \frac{\partial}{\partial t}$ .

This curve has one point  $E$  at infinity, in a neighbourhood of this point  $E$  is defined by  $\mu = 0$ ,  $\mu = \frac{u}{v}$ .

If we choose  $x = u$ ,  $x^* = \frac{v^7}{u^9}$ , then  $x^*$  has a simple zero at  $E$  and  $dx = \mu^{10} dx^*$ .

Furthermore

$$x = (v\mu^3)^2 + \mu^{10} x^*$$

Let  $W$  be the corresponding surface, then

$$c(W) = -20$$

$$(c_W^2) = 32$$

$$\chi(\mathcal{O}_W) = 1$$

Using the notation of § 4 we have

$$d\mathfrak{f} \wedge dy = \delta d\sigma \wedge dz = x^9 d\mathfrak{f}^* \wedge dy^*$$

Using the notation  $w = v^p$ , the coordinate ring of  $U'$  is

$$A = k[\xi] + k[\xi]w + k[\xi]w^2 + k[\xi]w^3$$

and  $\gamma^{-1}U' \setminus \pi(S)$  has the coordinate ring

$$A[\tau] + A[\tau]y.$$

If  $f, g \in A[\tau]$ , the 2-form  $\eta = (f + yg)d\xi \wedge dy$  is regular on  $\gamma^{-1}U'$  if and only if the function  $(f + yg)\phi$  is regular in a neighbourhood of  $\gamma^{-1}U' \cap T$ , i.e.  $f = a + b\tau$ ,  $g = 0$ . Therefore the 2-form  $\gamma$  is regular on  $W$  if and only if

$$\text{ord}_E(ax^9) \geq 0, \quad \text{ord}_E(bx^7) \geq 0,$$

$$\text{i.e.} \quad a \in H^0(B', \mathcal{O}_{B'}(+9E'))$$

$$b \in H^0(B', \mathcal{O}_{B'}(+7E')).$$

Since  $\text{ord}_{E'}(x) = 1$ ,  $\text{ord}_{E'}(\xi) = -4$ ,  $\text{ord}_{E'}(w) = -5$  we get

$$H^0(B', \mathcal{O}_{B'}(+9E')) = k + k\xi + k\xi^2 + kw + k\xi w$$

$$H^0(B', \mathcal{O}_{B'}(+7E')) = k + k\xi$$

Therefore  $p_g(W) = q(W) = 8$  and

$$\dim(\text{ALB}(W)) = \dim(\text{Jac}(B')) = 6$$

Example 2 : Let  $k$  be an algebraically closed field of characteristic 3,  $n = 2m+1 \geq 3$  an odd integer and  $f(u) \in k[u]$  a monic polynomial of degree  $3n$ . We consider the hyperelliptic curve  $B$  :

$$v^2 = f(u)^3 + u$$

and  $S = \frac{d}{du}$ ,  $\theta = \frac{\partial}{\partial u} + t^{3n} \frac{\partial}{\partial t}$ .

By  $E$  we denote the point at infinity. For the corresponding surface  $W$  we get

$$e(W) = 6 - 18n, \quad (\omega_W^2) = 12(6n^2 - 7n + 1)$$

$$\chi(\mathcal{O}_W) = 6n^2 - \frac{17n-3}{2}$$

$$\dim \text{Alb}(W) = \frac{9n-1}{2} = 4n+m$$

We want to compute the irregularity  $q = h^1(\mathcal{O}_W)$  of  $W$ .

We have  $\text{ord}_E(u) = -(2g+1) = -9n$

$$\text{ord}_E(v) = -2$$

hence  $\mu = \frac{v}{u^{9n+5}}$  has a simple zero at  $E$  and if

$$x^* = \frac{u^{9m+6}}{f(u)^3 \mu^{18m+5}}$$

we get

$$\text{ord}_E(x^*) = 1$$

$$dx = \mu^{18m+6} dx^* = \mu^{3(3m-1)} dx^*$$

$$x = \mu^{18m+6} x^* + \frac{v^3}{f(u)^3}$$

If we use the notation

$$v^3 = \eta, \quad u^3 = \xi, \quad f(u)^3 = g(\xi)$$

The curve  $B'$  is defined by the equation

$$\eta^2 = g(\xi)^3 + \xi$$

$$\text{and } y = \frac{v^*}{x^*} + \frac{\eta}{x^{3n}g(\xi)} x^{*n}$$

(using the notation of § 4). The divisor

$$K = (2n-2)T + (9n-4)\gamma^*E'$$

is canonical on  $W$ , hence

$$p_g = h^0(\gamma_* \mathcal{O}_W((2n-2)T) \otimes \mathcal{O}_{B'}((9n-4)E'))$$

If  $A$  is the coordinate ring of the affine curve

$B' - \{E'\} = U'$  we have

$$\gamma_* \mathcal{O}_W((2n-2)T) = \sum_{v=0}^{2n-2} A \tau^v + \sum_{v=0}^{n-2} A \tau^v y$$

A function  $f = \sum_{v=0}^{2n-2} a_v \tau^v + \sum_{\mu=0}^{n-2} b_\mu \tau^\mu y$  is a section of  $\mathcal{O}_W(K)$  if and only if the coefficients of

$$\begin{aligned} f x^{9n-4} &= \sum_{v=0}^{n-1} a_v x^{9n-4-3v} \tau^{*v} \\ &\quad + \sum_{\mu=0}^{n-2} (a_{n+\mu} + \eta \frac{b_\mu}{g}) x^{6n-4-3\mu} \tau^{*n+\mu} \\ &\quad + \sum_{\mu=0}^{n-2} b_\mu x^{9n-5-3\mu} \tau^{*n} y^* \end{aligned}$$

are regular at  $E'$ .

Since  $\text{ord}_{E'}(\eta) = -9n$ ,  $\text{ord}_{E'}(\xi) = -2$  this implies

that  $a_v$ ,  $v < n$  and  $b_\mu$ ,  $\mu < n-2$  are polynomials of  $k[f]$

$$\deg(a_v) \leq \frac{9n-4-3v}{2} \quad (1)$$

$$\deg(b_\mu) \leq \frac{9n-5-3\mu}{2}$$

If  $a_{n+\mu} = r_\mu + \eta g_\mu$ ,  $r_\mu, g_\mu \in k[f]$ , then  
 $a_{n+\mu} + \eta \frac{b_\mu}{g} = r_\mu + \frac{\eta}{g} (g g_\mu + b_\mu)$ . With the notation

$$p_\mu = g g_\mu + b_\mu$$

we get the conditions

$$\deg(r_\mu) \leq \frac{6n-4-3\mu}{2} \quad (2)$$

$$\deg(g_\mu) \leq \frac{3n-5-3\mu}{2} \quad (3)$$

$$\deg(p_\mu) \leq \frac{3n-4-3\mu}{2} \quad (4)$$

Because  $b_\mu$  is determined by  $b_\mu = p_\mu - g g_\mu$  we get by a straightforward calculation from (1)-(4)

$$p_g(w) = 8n^2 - 11n + 21 + \frac{m(m-1)}{2}$$

According to § 3 we have

$$\chi(\mathcal{Q}_W) = 6n^2 - 8n + 1 - m$$

and because of  $g(W) = p_g(W) + 1 - \chi(\mathcal{O}_W)$  we get

$$g(W) = 2n^2 - 3n + 21 + \frac{m(m+1)}{2}$$

Therefore the Picard variety of  $W$  is not reduced and

$$g(W) - \dim \operatorname{Alb}(W) = 2n^2 - 7n + 21 + \frac{m(m-1)}{2}$$

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